SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*       2. Date of Event<br>Requiring Statement<br>(Month/Day/Year)         10/19/2016 |                     |  | 3. Issuer Name and Ticker or Trading Symbol BIOTIME INC [ BTX ] |  |  |                                    |  |   |   |  |
|---|---------------------|--|---|--|--|------------------------------------|--|---|---|--|
| (Last) (First) (Middle)<br>1010 ATLANTIC AVENUE   |                     |  |   | ionship of Reporting Perso<br>all applicable)<br>Director        | 10% Owner                              |                                    | 5. If Amendment, Date of Original Filed<br>(Month/Day/Year)  |   |   |  |
| SUITE 102   |                     |  | X   | Officer (give title<br>below)<br>Sr VP, Head of Corp             | Other (spe<br>below)<br>orate Dev      | спу                                | 6. Individual or Joint/Group Filing (Check<br>Applicable Line)<br>X Form filed by One Reporting Person |   |   |  |
| (Street)<br>ALAMEDA CA 94501  |                     |  |   |  |  |                                    | л  |   | y More than One   |  |
| (City) (State) (Zip)  |                     |  |   |  |  |                                    |  |   |   |  |
| Table I - Non-Derivative Securities Beneficially Owned  |                     |  |   |  |  |                                    |  |   |   |  |
| 1. Title of Security (Instr. 4)   |                     |  |   | nt of Securities<br>ally Owned (Instr. 4)                        |  |                                    | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5)   |   |   |  |
| Common Shares, no par value   |                     |  |   | 17,036   | D                                      |                                    |  |   |   |  |
| Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)  |                     |  |   |  |  |                                    |  |   |   |  |
| Ex  |                     | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |   | 3. Title and Amount of Securit<br>Underlying Derivative Security |  | 4.<br>Conver<br>or Exer            | ersion<br>ercise   | 5.<br>Ownership<br>Form:<br>Direct (D)      | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |  |
| Explanation of Responses:   | Date<br>Exercisable | Expiration<br>Date   | n<br>Title  |  | Amount<br>or<br>Number<br>of<br>Shares | Price of<br>Derivative<br>Security |  | Direct (D)<br>or Indirect<br>(I) (Instr. 5) |   |  |

Remarks:

/s/James A. Knight

11/16/2016 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.