# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, DC 20549** 

### FORM 8-K

**CURRENT REPORT** Pursuant to Section 13 or 15(d) of the **Securities Exchange Act of 1934** 

Date of Report (Date of earliest event reported): October 17, 2019

## Lineage Cell Therapeutics, Inc.

(Exact name of registrant as specified in charter)

1-12830

(Commission

File Number)

California

(State or other jurisdiction

of incorporation)

94-3127919

(IRS Employer

Identification No.)

| 2173 Salk Avenue, Suite 200 Carlsbad, California (Address of principal executive offices)          |   | <b>92008</b><br>(Zip Code)  |  |
|--|---|---|--|
|  | (442) 287-8990<br>Registrant's telephone number, includ | ling area code  |  |
|  | (Former name or former address, if change               | ed since last report)   |  |
| Check the appropriate box below if the Form provisions ( <i>see</i> General Instruction A.2. below |   | sfy the filing obligation of the registrant under any of the following            |  |
| ☐ Written communications pursuant to Rule  | 2 425 under the Securities Act (17 CFR 230.4)           | 25)   |  |
| ☐ Soliciting material pursuant to Rule 14a-  | 12 under the Exchange Act (17 CFR 240.14a-              | -12)  |  |
| ☐ Pre-commencement communications pur  | suant to Rule 14d-2(b) under the Exchange A             | ct (17 CFR 240.14d-2(b))  |  |
| ☐ Pre-commencement communications pur  | suant to Rule 13e-4(c) under the Exchange Ad            | ct (17 CFR 240.13e-4(c))  |  |
|  | Securities registered pursuant to Section               | 12(b) of the Act:   |  |
| Title of each class  | Trading Symbol(s)                                       | Name of each exchange on which registered   |  |
| Common stock   | LCTX  | NYSE American   |  |
| Indicate by check mark whether the registrant this chapter) or Rule 12b-2 of the Securities E      |   | n as defined in Rule 405 of the Securities Act of 1933 (§230.405 of pter).        |  |
|  |   | Emerging growth company $\Box$  |  |
| If an emerging growth company, indicate by revised financial accounting standards provide          |   | o use the extended transition period for complying with any new of Act. $\square$ |  |

#### Item 8.01. Other Events.

On October 17, 2019, Edward D. Wirth, III, M.D., Ph.D., who joined Lineage Cell Therapeutics, Inc. (the "*Company*") as Chief Medical Officer in March 2019 as a result of the Company's acquisition of Asterias Biotherapeutics, Inc., informed the Company that he has decided to resign effective December 15, 2019. Dr. Wirth's resignation is not due to any disagreement with the Company on any matter relating to its operations, policies, or practices. Dr. Wirth intends to pursue a career opportunity with a company in a non-competitive area of cell therapy. The Company does not anticipate any change in timing related to the clinical development of the OPC1 program or any other program as a result of Dr. Wirth's resignation.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Lineage Cell Therapeutics, Inc.

Date: October 18, 2019 By: /s/ Brian M. Culley

Name: Brian M. Culley
Title: Chief Executive Officer